

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gardner et al.

: Group Art Unit: 2171

Serial No.: 10/643,191

: Examiner:

Filed: August 18, 2003

:

For: METHOD AND SYSTEM FOR ASSESSING
AND OPTIMIZING CRUDE SELECTIONSUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT 1 Pursuant to 37 CFR 1.97 (b)[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge* 2 Certification Pursuant to 37 CFR 1.97(c)(1)[before Final Office Action, Allowance, or other action closing prosecution] *no charge* 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00* 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION

COMMISSIONER FOR PATENTS

ALEXANDRIA, VA 223131450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313, on the date indicated below.

12-1-04
Date of Deposit

Type or Print Name

Jo Smith

Signature

Jo Smith

This paper is submitted in accordance with:

6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]

7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

8 The required Certification made in item 11 below; or

9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.

10 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 11 below; and

b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

11 Certification

12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

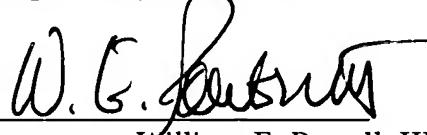
13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing date of this Statement.

14 Payment of all applicable fees:

15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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December / , 2004
Date

General Electric Company
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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 134734-1	SERIAL NO. 10/643,191
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>		Applicant Gardner et al.		
		Filing Date 08/19/2003	Group 2171	



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	2002/0116079	08/22/02	Kern et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AB	1 102 187	05/23/2001	European Patent Application			
	AC	01/70912	09/27/2001	PCT Application			
	AD	01/08054	02/01/2001	PCT Application			
	AE	02/21401	03/14/2002	PCT Application			
	AF						
	AG						
	AH						
	AI						
	AJ						

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

AK	"Push/Pull Production Plan and Schedule Used in Modern Refinery CIMS," Xiong et al., Robotics and Computer Integrated Manufacturing, Pergamon Press, Oxford, GB, Vol. 16, No. 6, December 2000, pp. 397-410.
AL	"CrudeManager with ATS and Chevron Assay Library Modules," Online, 12 July 2003, Spiral Software Limited, Internet, URL: http://web.archive.org/web/20030712144603/http://www.spiralsoft.co.uk/crudemanager/downloads.asp
AM	"Simultaneous Optimization of Several Response Variables," Derringer et al., Journal of Quality Technology, American Society for Quality Control, Vol. 12, No. 4, October 1980, pp. 214-219.
AN	
AO	
AP	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant